

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| (Print or Type Responses) | | | | | | | | | |
|---|---------------------------------------|-----------------------------|---|---|------------------------|----------------------------------|--------------------------------|--------------------------------|--|
| | | | ent Requiring 3. Issuer Name and Ticker or Trading Symbol | | | | | | |
| Person * Statement | | | Monopar Therapeutics [NONE] | | | | | | |
| STARR CHRISTOPHER M | | th/Day/Year | r) | | | | | | |
| (Last) (First) (Middl | (e) 01/08 | 3/2018 | 4 | 4. Relationship of Reporting | | | 5. If Amendment, Date Original | | |
| C/O MONOPAR | | | | Person(s) to Issuer (Check all applicable) | | | Filed(Month/Day/Year) | | |
| THERAPEUTICS INC, 1000 | | | | | | | | | |
| SKOKIE BLVD., SUITE 350 | | | - | X Director 10% (Officer (give Other | | wner (specify | | | |
| (Street) | · · · · · · · · · · · · · · · · · · · | | title below | | below) | | 6. Individual or Joint/Group | | |
| | | | | | | | | eck Applicable Line) | |
| WILMETTE, IL 60091 | | | | | | | | iled by One Reporting Person | |
| | | | | | | | Person | led by More than One Reporting | |
| (City) (State) (Zip |) | Ta | ble I - No | n-Derivati | ve Securitie | es Ben | eficially | Owned | |
| 1.Title of Security (Instr. 4) | | | Amount of S | Securities | 3. | 4. Nature of Indirect Beneficial | | | |
| | | | neficially O | wned | Ownership | Ownership | | | |
| | | (Ins | (Instr. 4) | | Form: Direct | (Instr. | 5) | | |
| | | | | | (D) or Indirect (I) | | | | |
| | | | | | (Instr. 5) | | | | |
| Common stock | | | 29,400 | | I | See F | See Footnote (1) (1) | | |
| Common stock | | 20. | 20,000 | | I | See Footnote (2) (2) | | | |
| Reminder: Report on a separate line Persons who not required number. | respond t | to the coll | ection of i | nformation | n contained i | in this | | SEC 1473 (7-02) | |
| Table II - Derivative S | ecurities Be | neficially O | wned (e.g., | puts, calls, | warrants, opt | ions, co | onvertible | e securities) | |
| 1. Title of Derivative Security | | Exercisable 3. Title and An | | | | 5. | | 6. Nature of Indirect | |
| (Instr. 4) and Exp | | | Securities Underlying | | Conversion | | nership | Beneficial Ownership | |
| | (Month/Day/Ye | ar) | Derivative Security | | or Exercis | | Form of Derivative | (Instr. 5) | |
| | | 1 | (Instr. 4) | | Price of | | | | |
| | Date | Expiration | | Amount or | Security | | eurity: ect (D) | | |
| | Exercisable | Date | Title | Number of | - | | ndirect | | |
| | | | | Shares | | (I) | | | |
| | | | | | | (Ins | str. 5) | | |
| tock options (3) Common stock | 84,000 \$ 0 | \$ 0.001 | | D | | | | | |
| Stock options | (2) | 157 | stock | 04,000 | \$ 0.001 | | D | | |
| Stock options | <u>(4)</u> | <u>(4)</u> | Common stock | 84,000 | \$ 0.001 | | D | | |
| | | | SIOCK | | | | | | |
| D 0 | | | | | | | | | |
| Reporting Owners | | | | | | | | | |
| | | | | | | | | | |

| Reporting Owner Name / Address | Relationships | | | | |
|---|---------------|-----------|---------|-------|--|
| Reporting Owner Name / Address | | 10% Owner | Officer | Other | |
| STARR CHRISTOPHER M C/O MONOPAR THERAPEUTICS INC 1000 SKOKIE BLVD., SUITE 350 WILMETTE, IL 60091 | X | | | | |

Signatures

| /s/ Kim R. Tsuchimoto, Attorney-in-fact | 01/08/2018 |
|---|------------|
| **Signature of Reporting Person | Date |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- 29,400 shares of Common Stock held by the Christopher M. Starr and Sheri L. Starr Revocable Trust over which Dr. Starr serves as Trustee.
- (2) 20,000 shares of Common Stock held by the Christopher M. Starr and Sheri L. Starr Revocable Trust over which Dr. Starr serves as Trustee.
 - Reflects the issuance of options to purchase up to 84,000 shares of common stock granted to Dr. Starr on April 4, 2016 for his services
- (3) as a director of Monopar Therapeutics. The options vested 50% upon grant date, 25% upon the 6-month anniversary of grant date, and 25% upon the 1-year anniversary of grant date. The options each expire on April 3, 2026.
 - Reflects the issuance of options to purchase up to 84,000 shares of common stock granted to Dr. Starr on February 20, 2017 for his
- (4) services as a director of Monopar Therapeutics. The options will vest 6/48ths at the six-month anniversary of grant date and 1/48th per month thereafter. The options each expire on February 19, 2027.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

MONOPAR THERAPEUTICS INC. CONFIRMING STATEMENT REGARDING AUTHORITY TO EXECUTE SECTION 16(a) REPORTS

This Statement confirms that the undersigned, Christopher M. Starr, Ph.D. c/o Monopar Therapeutics Inc., 1000 Skokie Blvd., Suite 350, Wilmette, IL 60091 (the "Insider"), has authorized and designated Kim R. Tsuchimoto and Chandler Robinson of Monopar Therapeutics Inc. (the "Company"), Ashley Graffeo and Robert Rupp, Esq. of Baker & Hostetler LLP, the Company's United States legal counsel, and each of them (each of the foregoing is referred to as an "Authorized Signer") to execute and file on the Insider's behalf a Form ID and any and all Forms 3, 4 and 5 (including any amendments thereto) that the Insider may be required to file with the United States Securities and Exchange Commission under Section 16(a) of the Securities Exchange Act of 1934, as a result of the Insider's ownership of, or transactions in, securities of the Company. The authority of the Authorized Signers under this Confirming Statement shall continue until the Insider is no longer required to file Forms 3, 4 and 5 with regard to the Insider's ownership of, or transactions in, securities of the Company, unless earlier revoked in writing. The Insider acknowledges that none of the Authorized Signers is assuming any of the Insider's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934.

| /s/ Christopher M. Starr |
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| Christopher M. Starr, Ph.D. |