## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| (Print or Type   | e Responses)  |   |   |  |       |   |  |   |  |                    |                     |  |  |                                 |   |   |                                  |
|--|---|---|---|--|-------|---|--|---|--|--------------------|---------------------|--|--|---------------------------------|---|---|----------------------------------|
| Name and Address of Reporting Person *  Mazar Andrew Paul            |   |   |   | 2. Issuer Name and Ticker or Trading Symbol Monopar Therapeutics [MNPR]          |       |   |  |   |  |                    |                     |  | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  X Director 10% Owner  |                                 |   |   |                                  |
| (Last) (First) (Middle)<br>1000 SKOKIE BLVD SUITE 350                |   |   |   | 3. Date of Earliest Transaction (Month/Day/Year) 09/30/2021                      |       |   |  |   |  |                    |                     |  | X Officer (give title below) Other (specify below)  Chief Scientific Officer                   |                                 |   |   |                                  |
| (Street)   |   |   |   | 4. If Amendment, Date Original Filed(Month/Day/Year)                             |       |   |  |   |  |                    | )                   |  | 6. Individual or Joint/Group FilingCheck Applicable Line) X Form filed by One Reporting Person |                                 |   |   |                                  |
| WILMETTE, IL 60091   |   |   |   |  |       |   |  |   |  |                    |                     |  | d by More than One Reporting Person  |                                 |   |   |                                  |
| (City)   | Table I - Non-Derivative Securities Acqui                             |   |   |  |       |   |  | Acquired  | ired, Disposed of, or Beneficially Owned |                    |                     |  |  |                                 |   |   |                                  |
| 1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) |   |   | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) |  | if    | (Instr. 8)  |  | 4. Securities Acquii (A) or Disposed of (Instr. 3, 4 and 5) |  | ed of (            | of (D) Owned Follow |  | ,  |                                 | \ /   | Beneficial<br>Ownership                         |                                  |
|  |   |   |   |  |       |   | Code                                       | V   | Amou                                     | ınt (A)            |                     | rice   |  |                                 |   | or Indirect (I) (Instr. 4)                      | (Instr. 4)                       |
| Common S   | Stock   | (   | 09/30/2021  |  |       |   | M  |   | 1,60                                     | 6 A                | <u>(1</u>           | 19,  | ,144.80  |                                 |   | D   |                                  |
| Common Stock 09/30/2021  |   |   | 09/30/2021  |  |       |   | F  |   | 471                                      | D                  | \$<br>4.            | .87  | 18,673.80  |                                 |   | D   |                                  |
| Common Stock   |   |   |   |  |       |   |  |   |  |                    | 4,1                 | 11,272.88  |  | I                               | See Footnote (2)  |   |                                  |
| Common Stock   |   |   |   |  |       |   |  |   |  |                    | 160                 | 6,667  | 67   |                                 | I   | See footnote (3)                                |                                  |
| Reminder: Re   | eport on a sep  | parate line for each  | n class of securities                                       | beneficially  Derivative   |       |   |  | Pers<br>cont<br>form  | ons w<br>ained<br>displ                  | in this ays a c    | form<br>urren       | are not<br>itly valid  | required<br>d OMB co   | of information of to respondent | d unless th   |   | 1474 (9-02)                      |
|  | I -   |   |   | (e.g., puts, c   | alls, | war   | rants, o                                   | ptions,   | conve                                    | rtible se          | curiti              | ies)   |  |                                 | I   | . 1   |                                  |
| Derivative<br>Security<br>(Instr. 3)                                 | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | Conversion Date Execution Date or Exercise (Month/Day/Year) Price of Derivative Execution Date any (Month/Day/Year) |   | e, if Transaction of Code Deear) (Instr. 8) Sec. (A) Discontinuous of (Instr. 8) |       | of<br>Deri<br>Secu<br>Acq<br>(A)<br>Disp<br>of (I | and E (Monicurities equired (D) str. 3, 4, |   | xpiration Date                           |                    | of<br>Se            | 7. Title and Amount<br>of Underlying<br>Securities<br>(Instr. 3 and 4) |  | (Instr. 5) E                    | Derivative Securities Beneficially Owned Following Reported | Owners Form o Derivat Securit Direct ( or Indir | Ownersh<br>(y: (Instr. 4)<br>(D) |
|  |   |   |   | Code   | v     | (A)   | (D)  | Date<br>Exerci  |  | Expiration<br>Date | on<br>Tit           | tle  | Amount<br>or<br>Number<br>of<br>Shares   |                                 |   |   |                                  |
| Restricted<br>Stock<br>Units   | <u>(1)</u>  | 09/30/2021  |   | M  |       |   | 1,606                                      | <u>(</u>  | <u>4)</u>                                | <u>(4)</u>         |                     | ommon<br>Stock   | 1,606  | \$ 0                            | 20,879  | D   |                                  |

#### **Reporting Owners**

|   | Relationships |              |                          |       |  |  |  |
|---|---------------|--------------|--------------------------|-------|--|--|--|
| Reporting Owner Name / Address  | Director      | 10%<br>Owner | Officer                  | Other |  |  |  |
| Mazar Andrew Paul<br>1000 SKOKIE BLVD SUITE 350<br>WILMETTE, IL 60091 | X             |              | Chief Scientific Officer |       |  |  |  |

### **Signatures**

| /s/ Kim R. Tsuchimoto, Attorney-in-fact | 10/01/2021 |  |
|---|------------|--|
| **Signature of Reporting Person         | Date       |  |

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares acquired on vesting and settlement of restricted stock units.
  - This is based upon the 4,111,272.88 shares of Monopar Common Stock that Tactic Pharma LLC indirectly controls through TacticGem LLC. As a manager of Tactic Pharma LLC,
- (2) Dr. Mazar may be deemed to share voting and dispositive power over these 4,111,272.88 shares. Dr. Mazar disclaims beneficial ownership of the 4,111,272.88 shares held by Tactic Pharma LLC, except to the extent of his pecuniary interest therein.
- This is based upon the 166,667 shares of Monopar Common Stock that Tactic Pharma LLC directly owns. As a manager of Tactic Pharma LLC, Dr. Mazar may be deemed to share (3) voting and dispositive power over these 166,667 shares. Dr. Mazar disclaims beneficial ownership of the 166,667 shares held by Tactic Pharma LLC, except to the extent of his pecuniary interest therein
- On January 26, 2021, the reporting person was granted 25,697 restricted stock units, vesting 6/48ths (3,212 shares) on June 30, 2021, and 3/48ths (1,606 shares) every 3 months (4) thereafter until the RSU is fully vested on December 31, 2024. Each restricted stock unit represents a contingent right to receive one share of common stock of the Issuer. Disposed of restricted stock units were surrendered in exchange for issuance of common stock upon vesting and settlement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.